



## International companies being held accountable for their actions as part of the Foreign Corrupt Practices Act (FCPA)

This email has been prepared to provide a summary of the services and controls in place at **The Oliver Group** (“**TOG**”). The following outlines various procedures **TOG** has in place to securely manage client data and mitigate risk of exposure and spoliation pertaining to the SEC's FCPA related investigations.

**The Oliver Group (TOG)**, in conjunction with legal, IT, risk, data acquisition, forensics and media restoration teams, is currently providing the following expertise to several lenders and financial services firms from our London United Kingdom Headquarters in conjunction with our two United States offices in New York and Connecticut in preparation and support of the SEC's FCPA Investigation – which has now spread to Financial Service Institutions in the United Kingdom.

- **Data Acquisition and Forensics** (targeted, supervisory role, assessments, interviews, identification, preservation, etc.)
- **Media Related Services** (cataloging, indexing, remediation, restoration, etc.)
- **Data Conversions** (GroupWise, Lotus Notes, Exchange,

**TOG** most recently is working with a targeted UK lender, distinct to a FCPA investigation, in reducing levied fines, by providing defensible, responsive data, which mingled through all business units including, their retail, banking, brokerage and trading from London, Asia-PAC Europe and the US.

**The Oliver Group's** professional, experienced, and efficient processes allows for the expedient delivery of responsive, sound data which saves the noted lender time, effort and ultimately the charge of lofty fines.

### **The Oliver Group**

**The Oliver Group** founded in 1997 and provides specialized e-discovery services to corporations, litigation support providers, and major law firms.

**TOG** is a proven, reliable partner with

MainFrame, AS400, eVault, journaled data, etc.)

- **Electronic Data Discovery** (de-nist, deduplicate, keyword search, date range filter, data identification, complex processing, etc.)
- **Professional Expert Services** (process review, litigation preparedness, Libor support, expertise, etc.)

extensive experience that includes some of the world's most publicized corporate legal actions of the last decade. Its U.S. headquarters is located in Manhattan, NY and Pawcatuck, Connecticut in the US and its European Union headquarters is located in London.

*"We (TOG) have achieved great successes for our international clients with our FCPA related electronic discovery services. The cases have varying degrees of complexity and exposure. We (TOG) are most recently working with a FSA targeted UK Financial Services Firm, distinct to a FCPA investigation, in reducing levied fines, by providing defensible, responsive data, which spans through all business units including retail, corporate, wealth investment, banking, brokerage and trading globally, including Europe, the Americas, Asia and Africa." says Dean Felicetti Co-Founder and Partner at The Oliver Group*

To speak with one of **The Oliver Group's** standing business references to discuss how **TOG** provides the best possible solutions to the demands of FCPA investigations, please contact me at your earliest convenience, I would welcome the opportunity to speak to our FCPA related successes.

